

## Recommended Standard Tariff Supplementary Charges

### Stamp Duty

UK – 0.50 % on purchase consideration for applicable securities  
Stamp Duty Reserve Tax (SDRT) where applicable is charged at the same rate

Irish Stamp Duty – 1 % on purchase consideration for applicable securities

### Panel on Takeovers & Mergers (PTM) Levy

Levy set by Recognised Investment Exchange on all equities & fixed interest  
Stocks over £10,000 consideration £1 per transaction

### Probate Valuations (subject to VAT)

Value up to £150,000 0.20 %

Excess over this figure 0.10 %

Minimum fee £50 or £5 per holdings

### Certificate Charge

Crest certificated transaction charge (Purchase) £20

Crest certificated transaction charge (Sale) £10

### Compliance Charge

Advisory, Advisory Managed and Discretionary £20 per transaction

Discount on third and each subsequent order £5 per transaction

This document was last updated on 14 January 2019

#### Walker Crips Investment Management

Old Change House, 128 Queen Victoria Street, London EC4V 4BJ | 020 3100 8100 | [client.services@wgcplc.co.uk](mailto:client.services@wgcplc.co.uk) | [walkercrips.co.uk](http://walkercrips.co.uk)  
Walker Crips Investment Management Limited is authorised and regulated by the Financial Conduct Authority and is a member of the London Stock Exchange. Registered in England number 4774117.

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### Cash Management Charges

UK Telegraphic Transfer (CHAPS)	£15
Overseas Telegraphic Transfer (CHAPS)	£25
BACS – up to three-day payment	Free of charge
BACS recall charge	£10
Sop cheque charge	£10

### Foreign Exchange Dealing

Conversion of cash balances	£25
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### Late Payment Charges

Subject to a minimum charge of £15, interest at a rate of 5% over Clearing bank base rate charged where settlement is received after due date

### Interest on Deposit Accounts

Interest rates are available on our Client Portal or on request from your Account Executive

## OVERSEAS SECURITIES HELD ABROAD

### Service Charges

HSBC is WCIM's main Global Custodian

Transfer of overseas security to another Custodian	£50
Re-register overseas stock into own name	£75

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Overseas securities will attract annual custody fees and must be held within our Nominee facility  
For Nominee services please see "Supplementary Charges Tariff"

### Transaction Charges (unless disbursements waived by our Agents)

Stocks held at HSBC	£50 per stock movement
Other Depots	N/A

## CUSTODY AND NOMINEES

### Safekeeping of Certificates

For every 10 holdings	£10 per half year
	(Subject to a maximum of £50 per half year)

### Nominee Service

Additional overseas charges apply to non-Crest Settlement transactions	£50
Fee per holding charged half yearly in arrears (Subject to a minimum of £20 per half year)	£8 (i.e. £4 half yearly)
Please note: Nominee Custody charges do not apply where Annual Management Fees are levied	
Fee per Report & Accounts request	£10
Fee for the collection of Rights, replacement documents, Corporate Action instructions	£10
Transfer in	At our expense

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### Transfer Administration Charges

Nominee re-registration – charge per holding (i.e. gift)	£15
Transfer out UK securities – charge per holding	£15
Transfer out non-UK securities – charge per holding	£50
Fee for off-market transfer (except for transfer into our Nominee)	£15
Request for stock withdrawal (non-trade related) – per withdrawal	£20

### Managed Pack (Enhanced Tax Pack) (subject to VAT)

For non-managed/non-fee paying clients	£50 per half year
	Subject to a maximum of £50 per half year

## ISA SERVICE

### Dividend Collection & Holding Charges

Collected half yearly in arrears	£15 per stock (i.e. £7.50 half yearly)
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Please note: ISA Dividend Collection & Holding charges do not apply where Management Fees are levied

### Other Charges

Income Distribution	£5 per annum
Part Withdrawal	£5 per item
Company Reports	£5 per stock per annum
Income Accumulation	Free of charge

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Processing of new documents for Rights Issues/Takeovers/Mergers/Calls	£7 per stock
Plan/Account Transfers into WCIM	Free of charge
Plan/Account Transfers out of WCIM	£20 per stock (subject to a minimum of £30)

### ADMINISTRATION CHARGES

#### Transfers

Corporate Nominee Transfer Agent charges – variable charges levied By Company Registrars	Available on request
Transfer of holding from third party corporate nominee account Administration Fee (excluding third party corporate nominee charges)	£15
Cross border transactions (excluding Registrar/Agent fees) – per holding	£50

#### Re-registration

Certificated charge per holding	£20
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#### Indemnity Administration (i.e. replacing lost certificate)

Indemnity handling fee	£50 per holding
Plus countersignature by HSBC per value of shares	
£1 - £20,000	£50
£20,001 - £100,000	£100
In excess of £100,000 – to go direct to the Registrar This is in addition to the administration fee levied by the Registrar	
Charge for Registrar certificate holding check	£5 per security

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### Late Delivery of Stock and/or Transfer for Certificated Sales

Where valid documents are not received by Settlement date	£30
Further charge if documents are not received by Settlement date + 2 days	£30

### Re-issue of Documents (subject to VAT)

Copy Contracts per request for transactions over 3 months	£10 per transaction
Copy Consolidated Tax Voucher per request over 3 months	£10 per transaction

## ADMINISTRATION CHARGES

### Registration of Death of Shareholder

UK securities – per holding	£30
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Please note: We do not offer a death registration service for International securities

### Dematerialisation of non-UK securities

Including DRS/CDS statements – per holding	£50
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Based on our current understanding of VAT legislation, VAT will be chargeable on certain fees as indicated above

This document has been prepared with all reasonable care and is not knowingly misleading in whole or in part.

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