

## Recommended Standard Tariff Supplementary Charges

### Stamp Duty

UK – 0.50 % on purchase consideration for applicable securities  
Stamp Duty Reserve Tax (SDRT) where applicable is charged at the same rate

Irish Stamp Duty – 1 % on purchase consideration for applicable securities

### Panel on Takeovers & Mergers (PTM) Levy

Levy set by Recognised Investment Exchange on all equities & fixed interest stocks over £10,000 consideration £1 per transaction

### Probate Valuations (subject to VAT)

Value up to £150,000 0.20%

Excess over this figure 0.10%

Minimum fee £50 or £5 per holding

### Certificate Charge

Crest certificated transaction charge (Purchase) £20

Crest certificated transaction charge (Sale) £10

### Compliance Charge

Advisory, Advisory Managed and Discretionary £20 per transaction

Execution Only £5 per transaction

### Overseas Securities

Additional overseas charges apply to non-Crest Settlement transactions £50 per transaction

This document was last updated on 01 March 2019

#### Walker Crips Investment Management

Old Change House, 128 Queen Victoria Street, London EC4V 4BJ | 020 3100 8100 | [client.services@wcgplc.co.uk](mailto:client.services@wcgplc.co.uk) | [walkercrips.co.uk](http://walkercrips.co.uk)  
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### Cash Management Charges

UK Telegraphic Transfer (CHAPS)	£15
Overseas Telegraphic Transfer (CHAPS)	£25
BACS – up to three-day payment	Free of charge
BACS recall charge	£10
Stop cheque charge	£10

### Foreign Exchange Dealing

Conversion of cash balances	£25
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### Late Payment Charges

Subject to a minimum charge of £15, interest at a rate of 5 % over Clearing Bank base rate charged where settlement is received after due date

### Interest on Deposit Accounts

Interest rates are available on our Client Portal or on request from your Account Executive

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### OVERSEAS SECURITIES HELD ABROAD

#### Service Charges

HSBC is WCIM's main Global Custodian

Transfer of overseas security to another Custodian	£50
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Re-register overseas stock into own name	£75
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Overseas securities will attract annual custody fees and must be held within our Nominee facility  
For Nominee services please see below

#### Transaction Charges (unless disbursements waived by our Agents)

Stocks held at HSBC	£50 per stock movement
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Other Depots	N/A
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### CUSTODY AND NOMINEES

#### Safekeeping of Certificates

For every 10 holdings	£10 per half year
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(Subject to a maximum of £50 per half year)

#### Nominee Service

Fee per holding charged half yearly in arrears (Subject to a minimum of £20 per half year)	£8 (e.g. £4 half yearly)
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Please note: Nominee Custody charges do not apply where Annual Management Fees are levied

Fee per Report & Accounts request	£10
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Fee for the collection of Rights, replacement documents, Corporate Action instructions	£10
Transfer in	At our expense

### Transfer Administration Charges

Nominee re-registration – charge per holding (e.g. gift)	£15
Transfer out UK securities – charge per holding	£15
Transfer out non-UK securities – charge per holding	£50
Fee for off-market transfer (except for transfer into our Nominee)	£15
Request for stock withdrawal (non-trade related) – per withdrawal	£20
Charge for registrar certificate holding check	£20

### Managed Pack (Enhanced Tax Pack) (subject to VAT)

For non-managed/non-fee paying clients	£50 per half year
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### ISA SERVICE

#### Dividend Collection & Holding Charges

Collected half yearly in arrears

£15 per stock  
(e.g. £7.50 half yearly)

Please note: ISA Dividend Collection & Holding charges do not apply where Management Fees are levied

#### Other Charges

Income Distribution	£5 per annum
Part Withdrawal	£5 per item
Company Reports	£5 per stock per annum
Income Accumulation	Free of charge
Processing of new documents for Rights Issues/Takeovers/Mergers/Calls	£7 per stock
Plan/Account Transfers into WCIM	Free of charge
Plan/Account Transfers out of WCIM	£20 per stock (subject to a minimum of £30)

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### ADMINISTRATION CHARGES

#### Transfers

Corporate Nominee Transfer Agent charges – variable charges levied By Company Registrars	Available on request
Administration Fee for transfer of holding from third party corporate nominee account (excluding third party corporate nominee charges)	£15
Cross border transactions (excluding Registrar/Agent fees) – per holding	£50

#### Re-registration

Certificated charge per holding	£20
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#### Late Delivery of Stock and/or Transfer Form for Certificated Sales

Where valid documents are not received by Settlement date	£30
Further charge if documents are not received by Settlement date + 2 days	£30

#### Re-issue of Documents (subject to VAT)

Copy Contracts per request for transactions over 3 months	£10 per transaction
Copy Consolidated Tax Voucher per request over 3 months	£10 per transaction

#### Registration of Death of Shareholder

UK securities – per holding	£30
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Please note: We do not offer a death registration service for International securities

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### Dematerialisation of non-UK securities

Including DRS/CDS statements – per holding

£50

Based on our current understanding of VAT legislation, VAT will be chargeable on certain fees as indicated above

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